

# Change Notes

## For Base4NFDI Basic Service Proposals

- Initialisation Phase – [Version 3.2 2024-11-19]
- Integration Phase – [Version 2.1 2024-11-19]

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## Initialisation Phase

### v.3.2

- General clarifications in the text.
- Moved from RTF to ODT, in this process we also updated the enumeration of the headers.
- **II Proposal Template**
  - **2 State-of-the-Art of Proposed Basic Service**
    - **2.2 State-of-the-Art**
      - Added general instruction for clarification.
      - Added instruction to provide information about possible overlap with EOSC EU Node services.
  - **5 Work Programme**
    - **5.1 Overview on work packages**
      - Added references to new resources by Base4NFDI on Requirements for Completion of Initialisation Phase and Documentation.
      - Added information on centrally organised activities by Base4NFDI that should be considered in the work plan.

## v3.1

- General clarifications in the text.
- **II B. Proposal Template**
  - **5. Work Programme**
    - Added more detailed information about required deliverables for the initialisation phase
    - Added recommendation on a work package for communication and community engagement

## v3.0

- **I A. General Instructions**
  - Added support for application phase:
    - The Base4NFDI team is now complemented by Section Liaison Officers and Service Stewards who will provide support during the application phase. The Service Stewards with their domain expertise will be accompanying funded Basic Service candidates in the Initialisation, Integration and Ramp-Up phase. To find out more visit <https://base4nfdi.de/about/service-stewards>.
    - We strongly recommend getting in contact with the Section Liaison Officers ([base4nfdi-ta3@lists.nfdi.de](mailto:base4nfdi-ta3@lists.nfdi.de)) or Service Stewards ([base4nfdi-servicestewards@lists.nfdi.de](mailto:base4nfdi-servicestewards@lists.nfdi.de)) as early as possible.
  - Added specification of section approval:
    - It is now specified that the proposal concept has to be presented, discussed and approved in a Section meeting before the Section spokespersons can submit the final proposal to guarantee a broad approval across the Section.
  - Adjusted maximum funding costs:
    - The Consortia Assembly (2024-01-12) agreed that proposals submitting in 2024 are allowed to calculate personnel costs on the basis of DFG Personnel Rates for 2024. This raises the maximum possible funding for each proposal of the Initialisation Phase to € 252.000.
- **II B. Proposal Template**
  - **1. General Information**
    - Participating institutions:
      - For clarification, please provide information if partner institutions will receive funding for the Basic Service via Base4NFDI or not.
    - Added significance:
      - This addition was highly suggested, e.g. by the Consortia, to provide a brief explanation of the added value to non-technical experts.
  - **2. State-of-the-Art of Proposed Basic Service**

- Add rationale, why you think the proposed service can be defined as Basic Service with regard to the Base4NFDI service definition (link to homepage added):
  - We now ask very specifically for the rationale of your Basic Service idea with regards to the Base4NFDI service definition. This assures that you get to very specifically state your rationale and that the Technical Expert Committee as well as the Consortia can refer to this section in this regard.
- **4. Working Concept for the Development of the Basic Service**
  - Added training perspective for each phase:
    - Every Basic Service has a target group that needs to be trained in the use / implementation / etc. of your Basic Service. This might become important e.g. for software piloting and testing. We therefore encourage you to consider training activities and the development of training materials as well as proper documentation in your working concept.
  - Risk and challenges, specification for three phases
    - It is now more clear that here you should provide an overview on risks and challenges across the Basic Service life-cycle from Initialisation to Ramp-Up Phase.
- **5. Work Programme**
  - Added training materials:
    - See above. We encourage you to consider training activities and the development of training materials as well as proper documentation also in your working plan. If you need advice, reach out to the Base4NFDI Training Manager (contact via [base4nfdi-office@lists.nfdi.de](mailto:base4nfdi-office@lists.nfdi.de)).
- **Signatures**
  - Additional information on signatures needed to submit the proposal
    - We here clarify that the proposal needs to be signed by the Principal Investigators and the person/those persons who can provide legally binding statements for the applying institutions. So if not in concurrence of function, both the Principal Investigators and the heads of the institutes listed in “1. General Information” need to sign the proposal.
- **III Appendix**
  - **b) Letter of Commitment:**
    - We are now providing a more precise description of when a Letter of Commitment (LoC) is required and when it is not. If there are any open questions regarding this, feel free to contact the Base4NFDI team.

# Integration Phase

## v2.1

- General clarifications in the text.
- Moved from RTF to ODT, in this process we also updated the enumeration of the headers.
- **I Proposal Template**
  - **1 General Information**
    - Removed significance abstract and added Statement on efforts required by consortia for integration of service. This should give consortia a very brief idea of what effort is required by them to integrate the proposed basic service.
  - **2 Summary of Initialisation Phase Results**
    - **2.2 Results of Initialisation Phase**
      - **2.2.1 Interim report on requirements for finalisation of the initialisation phase**
        - Added references to new resources by Base4NFDI on Requirements for Completion of Initialisation Phase and Documentation.
      - **2.2.2 Other**
        - Added examples
    - **2.3 Update on Technical Readiness Level (TRL) of the proposed Basic Service**
      - Added information of required TRL.
  - **3 Working Concept for the development of the Basic Service**
    - **3.1 Service Integration Concept**
      - Added recommendation for consultation on key performance indicators.
      - Added instruction to provide update on changes to openness concept.
      - Added instruction to provide update on changes to overlap with EOSC EU Node services.
    - **3.2 Future Development and Ramp-up Outlook**
      - Added instruction to provide information on vision of business model.
      - Added reference to Base4NFDI policy paper on EOSC interoperability.
    - **3.3 Risks and Challenges**
      - Added general instruction for clarification.
  - **5 Work Programme**

- **5.1 Overview of Work Packages**

- Added information on community engagement and communication.
- Added information on centrally coordinated activities by Base4NFDI.

## v2.0

- **I A. General Instructions**

- Adjusted maximum funding costs:
  - The Consortia Assembly (2024-01-12) agreed that proposals submitting in 2024 are allowed to calculate personnel costs on the basis of DFG Personnel Rates for 2024. This raises the maximum possible funding for each proposal of the Initialisation Phase to 497,700 € per year.

- **II B. Proposal Template**

- General alignment with Initialisation Phase template (v3.0)
- **2. Summary of Initialisation Phase Results**
- Added instruction to provide information on how conditions issued by the Technical Expert Committee in their proposal review for the initialisation phase were addressed or resolved.
  - **2.2 Results of Initialisation Phase**
    - Added structure and more detailed information on how to report on the status and results of the initialisation phase
    - This includes added sections for an interim report on requirements for finalisation of the initialisation phase (2.2.1) and the option to report on other outcomes (2.2.2).
  - **2.3 Update on Technical Readiness Level (TRL) of the proposed Basic Service**
    - Added instruction to provide TRL for the basic service at the time of application for integration and by the end of initialisation phase.
- **3. Working Concept for the development of the Basic Service**
  - 3.1 Service integration concept
    - Added information to clarify what details are expected in regard to service integration.
  - 3.2 Future development an ramp-up outlook
    - Added more detailed information what is expected in regard to interoperability and exchange to international infrastructures, especially the European Open Science Cloud (EOSC)
    - Added information to provide key performance indicators (KPIs) to measure the success of the basic service
- **5. Work Programme**
  - 5.1 Overview of work packages

- Added recommendation on a work package for communication and community engagement
- Added information to provide a fact sheet as a part of service documentation
- **III Appendix**
  - **b) Letter of Commitment:**
    - Alignment of the description with the initialisation phase template of when a Letter of Commitment (LoC) is required and when it is not.